



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 9

Complete If Known

Application Number	09/851,226
Filing Date	05/08/2001
First Named Inventor	Jeffrey Weers et al.
Art Unit	1615
Examiner Name	Gau
Attorney Docket Number	0073.00

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code 2 (if known)				
AM	aa	US-4571334		02/18/1986	Yoshisa et al.	
	ab	US-4765987		08/23/1986	Bonte et al.	
	ac	US-5032585		07/16/1991	Lichtenberger	
	ad	US-5262405		11/16/1993	Girod-Vaquez et al.	
	ae	US-5299566		04/05/1994	Davis et al.	
	af	US-5451569		09/19/1995	Wong et al.	
	ag	US-5527521		06/18/1996	Unger et al.	
	ah	US-5542935		08/06/1996	Unger et al.	
	ai	US-5569448		10/19/1996	Wong et al.	
	aj	US-5648095		07/15/1997	Illum et al.	
	ak	US-5659297		08/12/1997	Bernstein et al.	
	al	US-5667808		09/16/1997	Johnson et al.	
	am	US-5698537		12/16/1997	Pruss	
	an	US-5776496		07/07/1998	Violante et al.	
	ao	US-5853698		12/29/1998	Straub et al.	
	ap	US-5853752		05/29/1998	Unger et al.	
	aq	US-5853763		12/19/1998	Tice et al.	
	ar	US-5925334		07/20/1999	Rubin et al.	
	as	US-5955143		09/21/1999	Wheatley	
	at	US-5985309		11/16/1999	Edwards et al.	

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Examiner Initials*	Cite No. 1	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code 3	Number 4 - Kind Code 5 (if known)				
AM	es	WO	9626746		9/6/1996		
	ET	WO	9808519		3/5/1998		
	EU	WO	9805302		2/12/1998		
	EY	WO	9801161		1/15/1998		
	EW	WO	9744013		11/27/1997		
	EX	WO	9744012		11/27/1997		
	EY	WO	9817257		4/30/1998		
	EZ	WO	9736578		10/9/1997		
	FA	WO	9736574		10/9/1997		
	FB	WO	9735562		10/2/1997		

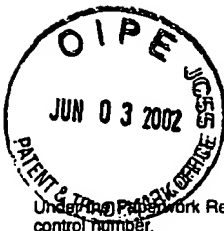
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Sheet 2 of 9

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Application Number	09/851,226
Filing Date	05/08/2001
First Named Inventor	Jeffrey Weers et al.
Art Unit	1615
Examiner Name	Gau
Attorney Docket Number	0073.00

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
Sgt	au	US-6017310		01/25/2000	Johnson et al.	
	av	US-6051259		04/18/2000	Johnson et al.	
	aw	US-6068600		05/30/2000	Johnson et al.	
	ax	US-6068600		07/11/2000	Johnson et al.	
	ay	US-6113948		09/05/2000	Heath et al.	
	az	US-6129934		10/10/2000	Egan et al.	
	ba	US-6165597		12/26/2000	Tracy et al.	
	bb	US-4397799		08/09/1983	Edgren et al.	
	bc	US-5855913		01/05/1999	Hanes et al.	
	bd	US-5308620		05/03/1994	Yen	
	be	US-5616311		04/01/1997	Yen	
	bf	US-5874064		04/02/1999	Edwards et al.	
	bg	US-5306483		04/04/1994	Mautone	
	bh	US-5690954		04/11/1997	Illum	
	bi	US-5260306		04/11/1993	Boardman et al.	
	bj	US-5707644		04/01/1998	Illum	
	bk	US-5225183		04/07/1993	Purewal	
	bl	US-5145684		04/09/1992	Liversidge et al.	
	bm	US-5658549		04/08/1997	Akehurst et al.	
	bn	US-5605673		02/25/1997	Schuff et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ - Kind Code ⁵ (if known)				
Sgt	FC	WO	9619968	7/4/1996			
	FD	WO	9619198	6/27/1996			
	FE	WO	9619197	6/27/1996			
	FF	WO	9618388	6/20/1996			
	FG	WO	9615814	5/30/1996			
	FH	WO	96109814	4/4/1996			
	FI	WO	9523613	9/8/1995			
	FJ	WO	9531964	11/30/1995			
	FK	WO	9531182	11/23/1995			
	FL	WO	9527476	10/19/1995			

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		Number - Kind Code 2 (if known)				
SM	bo	US-5562608		10/07/1996	Sekins et al.	
	bp	US-5770187		06/23/1998	Hasebe et al.	
	bq	US-5766573		06/16/1998	Purewal	
	br	US-5755218		05/26/1998	Johansson et al.	
	bs	US-5744123		04/29/1998	Akehurst et al.	
	bt	US-5742352		04/28/1998	Rubsamen et al.	
	bu	US-5742350		04/28/1998	Gonda et al.	
	bv	US-5741522		04/21/1998	Violante et al.	
	bw	US-5741478		04/21/1998	Osborne et al.	
	bx	US-5506203		04/09/1998	Backstrom et al.	
	by	US-5736124		04/07/1998	Akehurst et al.	
	bz	US-5735263		04/07/1998	Rubsamen et al.	
	ca	US-5725871		03/10/1998	Illum	
	cb	US-5744166		04/28/1998	Illum	
	cc	US-5725841		03/10/1998	Duan et al.	
	cd	US-5724957		03/10/1998	Rubsamen et al.	
	ce	US-5720940		02/24/1998	Purewal et al.	
	cd	US-5718222		02/17/1998	Lloyd et al.	
	ce	US-5718921		02/17/1998	Mathiowitz et al.	
	cf	US-5707352		01/13/1998	Sekins et al.	

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		Country Code 3	Number 4	Kind Code 5 (if known)				
SM	FM	WO	9524892		9/21/1995			
	FN	WO	9517195		6/29/1995			
	FO	WO	9515118		6/8/1995			
	FP	WO	9408627		4/28/1994			
	FQ	WO	9314172		7/22/1993			
	FR	WO	9311745		6/24/1993			
	FS	WO	9311744		6/24/1993			
	FT	WO	9214444		9/3/1992			
	FU	WO	9112823		9/5/1991			
	FV	EP	0658101		6/21/1995			

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Sheet 4 of 9

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Application Number	09/851,226
Filing Date	05/08/2001
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		Number	Kind Code ² (if known)			
SM	cg	US-5695744		12/09/1997	Neale et al.	
	cc	US-5695743		12/09/1997	Purewal et al.	
	cf	US-5690954		11/25/1997	Illum	
	cg	US-5688782		11/18/1997	Neale et al.	
	ch	US-5683677		11/04/1997	Purewal et al.	
	ci	US-5683676		11/04/1997	Akehurst et al.	
	cj	US-5681545		10/28/1997	Purewal et al.	
	ck	US-5676929		10/14/1997	Akehurst et al.	
	cl	US-5674473		10/07/1997	Purewal et al.	
	cm	US-5674472		10/07/1997	Akehurst et al.	
	cn	US-5674471		10/07/1997	Akehurst et al.	
	co	US-5658549		08/19/1997	Akehurst et al.	
	cp	US-5653962		08/05/1997	Akehurst et al.	
	cq	US-5635161		06/03/1997	Adjei et al.	
	cr	US-5635159		06/03/1997	Fu Lu et al.	
	cs	US-5605674		02/25/1997	Purewal et al.	
	ct	US-5580575		12/03/1996	Unger et al.	
	cu	US-5569450		10/29/1996	Duan et al.	
	cv	US-5518731		05/21/1996	Meadows	
	cw	US-5518709		05/21/1996	Sutton et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ - Kind Code ⁵ (if known)				
SM	FW	EP	0616525	9/27/1995			
	FX	EP	0605578	1/10/1996			
	FY	EP	0588897	2/28/1996			
	FZ	EP	0587790	1/3/1996			
	GA	EP	05562556	8/30/1995			
	GB	EP	0553298	11/17/1994			
	GC	EP	05365235	1/22/1997			
	GD	EP	0536204	4/6/1994			
	GE	EP	0513127	7/17/1995			
	GF	EP	0499344	10/11/1995			

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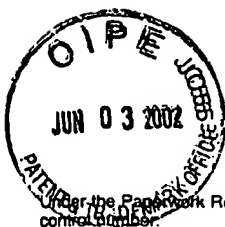
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First Named Inventor	Jeffrey Weers et al.
Art Unit	1615
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		Number	Kind Code ² (if known)			
2	cx	US-5518998		05/21/1996	Backstrom et al.	
	cy	US-5492688		02/20/1996	Byron et al.	
	cz	US-5490498		02/13/1996	Faithfull et al.	
	da	US-5474759		12/21/1995	Fassberg et al.	
	db	US-5470885		11/28/1995	Furhman et al.	
	dc	US-5437272		08/01/1995	Fuhrman	
	dd	US-5376359		12/27/1994	Johnson	
	de	US-5348730		09/20/1994	Greenleaf et al.	
	df	US-5299566		04/05/1994	Davis et al.	
	de	US-5284656		02/08/1994	Platz et al.	
	df	US-5260306		11/09/1993	Boardman et al.	
	dg	US-5230884		07/27/1993	Evans et al.	
	dh	US-5225183		07/06/1993	Purewal et al.	
	di	US-5208226		05/04/1993	Palmer	
	dj	US-5190029		03/02/1993	Byron et al.	
	dk	US-5182097		01/26/1993	Byron et al.	
	dl	US-5173298		12/22/1992	Meadows	
	dm	US-5126123		06/30/1992	Johnson	
	dn	US-5118494		06/02/1992	Schultz et al.	
	do	US-5011678		04/30/1991	Wang et al.	

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		Country Code ³	Number ⁴ - Kind Code ⁵ (if known)				
2	GG	EP	0493437	8/2/1995			
	GH	EP	0391896	3/2/1994			
	GI	EP	0372777	1/7/1993			
	GJ	EP	0653205	5/17/1995			
	GK	EP	0656205	6/7/1995			
	GL	EP	0611567	8/24/1994			
	GM	EP	0274431	7/13/1988			
	GN	CA	2136704	5/28/1005			
	GO	EP	0655237	5/13/1995			
	GP	CA	2036844	8/23/1991			

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SM	dp	US-4590206		05/20/1986	Forrester et al.	
	dq	US-5653961		08/05/1997	McNally et al.	
	dr	US-5770559		06/23/1998	Manning et al.	
	ds	US-5770222		06/23/1998	Unger et al.	
	dt	US-5747445		05/05/1998	Backstrom et al.	
	du	US-5830853		11/03/1998	Backstrom et al.	
	dv	US-5874063		02/23/1999	Briggner et al.	
	dw	US-5254330		10/19/1993	Ganderton et al.	
	dx	US-5891844		04/06/1999	Hafner	
	dy	US-4904479		04/06/1999	Illum	
	dz	US-5804212		09/08/1998	Illum	
	ea	US-5863554		01/26/1999	Illum	
	eb	US-5756104		05/26/1998	de Haan et al.	
	ec	US-5612053		03/18/1997	Baichwal	
	ed	US-5817293		10/06/1998	Akehurst et al.	
	ee	US-5308620		05/03/1994	Yen	
	ef	US-5616311		04/01/1997	Yen	
	eg	US-5547656		08/20/1996	Unger	
	eh	US-5830430		11/03/1998	Unger et al.	
	ei	US-5814607		09/29/1998	Patton	

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SM	GQ	WO 9833487		8/6/1998			
	GR	WO 9831346		7/23/1998			
	GS	WO 9833480		8/6/1998			
	GT	WO 9830207		7/16/1998			
	GU	WO 9813031		4/2/1998			
	GV	WO 9500128		1/5/1995			
	GW	WO 9619199		6/27/1996			
	GX	WO 9829099		7/9/1998			
	GY	WO 9906026		2/11/1999			
	GZ	WO 9703649		2/6/1997			

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Sheet 7 of 9

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Application Number	09/851,226
Filing Date	05/08/2001
First Named Inventor	Jeffrey Weers et al.
Art Unit	1615
Examiner Name	Gau
Attorney Docket Number	0073.00

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Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)				
	ej	US-5855913		01/05/1999	Hanes et al.	
	ek	US-5874064		02/23/1999	Edwards et al.	
	el	US-5856367		01/05/1999	Barrows et al.	
	em	US-5811406		09/22/1998	Szoka et al.	
	en	US-5858784		01/12/1999	Debs et al.	
	eo	US-5820883		10/13/1998	Tice et al.	
	ep	US-3014844		12/26/1961	Thiel et al.	
	eq	US-4818542		04/04/1989	DeLuca et al.	
	er	US-5069936		12/03/1991	Yen	
	ge	US-5989028		4/27/1999	Jensen et al.	
	gf	US-5654007		8/5/1997	Johnson et al.	
	gg	US-5667809		9/16/1997	Trevino et al.	
	gh	US-5770585		6/23/1998	Kaufman et al.	
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	HA	WO	9218164		10/29/1992			
	HB	WO	9740819		11/6/1997			
	HC	WO	9111173		8/8/1991			
	HD	WO	9200107		1/9/1992			
	HE	WO	9632149		10/17/1996			
	HF	WO	9640068		12/19/1996			
	FG	WO	9741833		11/13/1997			
	FH	WO	9816205		4/23/1998			
	FI	WO	9829098		7/9/1998			
	FJ	WO	9829140		7/9/1998			

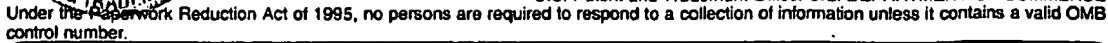
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		Country Code 3	-Number ⁴ -Kind Code ⁵ (if known)			
S	FK	WO	9505194	2/23/1995		
	FL	WO	9829097	7/9/1998		
	FM	WO	9800111	1/8/1998		
	FN	WO	9104011	4/4/1991		
	FO	EP	0539522	12/20/1998		
	FP	WO	9609814	4/1996		
	FQ	WO	9116882	11/14/1991		
	FR	WO	9932083	7/1/1999		
V	FS	EP	0655237	5/31/1995		
	FT	WO	9726863	7/31/1999		

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Sheet 9 of 9

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Applicati n Number	09/851.226
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Filing Date	05/08/2001
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First Named Inventor	Jeffrey Weers et al.
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Art Unit	1615
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Examiner Name	Unassigned
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Attorney Docket Number 0073.00

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		Country Code 3	-Number ⁴ -Kind Code ⁵ (if known)				
SM	FU	EP	0372777	6/13/1990			
	FV	WO	9807414	2/26/1998			
	FW	RU	93008753				
	FX	RU	91263780				
	FY	RU	92025196				
	FZ	WO	9218164	10/19/1992			
	GA	WO	9528944	11/2/1995			
	GB	WO	9637399	3/14/1996			
✓	GC	WO	9640277	12/19/1996			

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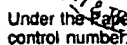
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of

1

Application Number

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Filing Date

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First Named Inventor

Jeffrey Weers et al.

Group Art Unit

1615

Examiner Name

Gau

Attorney Docket Number

0073 00

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Initials*

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Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

T2

1

ABDELLAZIZ BEN-JEBRIA ET AL., "Large Porous Particles for Sustained Protection from Carbachol-Induced Brnchoconstriction in Guinea Pigs," Pharm Res, Vol. 16 (No. 4), p. 555-561,

2

ZARIF ET AL., "Amphotericin B Cochleates as a Novel Oral Delivery System,"
International Symposium, p. 964-965.

3

HAUSER ET AL., "Interactions of Divalent Cations with Phosphatidylserine Bilayer Membranes," *Biochem.*, p. 34-41,

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